# Equivalence checking of prefix-free transducers and deterministic two-tape automata 

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## Preliminaries

A word over alphabet $A$ is any finite sequence $w=a_{1} a_{2} \ldots a_{k}$ of letters in $A$. The empty word is denoted by $\varepsilon$.

Given a pair of words $u$ and $v$, we write $u v$ for their concatenation.
The set of all words over an alphabet $A$ is denoted by $A^{*}$.
A language over $A$ is any subset of $A^{*}$.
Concatenation of languages $L_{1}$ and $L_{2}$ is the language

$$
L_{1} L_{2}=\left\{u v: u \in L_{1}, v \in L_{2}\right\} .
$$

If $L_{1}=\emptyset$ or $L_{2}=\emptyset$ then $L_{1} L_{2}=\emptyset$.
A transduction over alphabets $A$ and $B$ is any subset of $A^{*} \times B^{*}$.

## Real Time Finite Transducers

A Real Time Finite Transducer over an input alphabet $\Sigma$ and an output alphabet $\Delta$ is a quadruple $\pi=\left\langle Q, q_{0}, F, \longrightarrow\right\rangle$, where

- $Q$ is a finite set of states ,
- $q_{0}$ is an initial state,
- $F \subseteq Q$ is a subset of final states, and
- $\longrightarrow$ is a finite transition relation of the type $Q \times \Sigma \times \Delta^{*} \times Q$. We will write $\pi\left(q_{0}\right)$ to emphasize that $q_{0}$ is the initial state of $\pi$. Transitions $\left(q, a, u, q^{\prime}\right)$ in $\longrightarrow$ are depicted as $q \xrightarrow{a / u} q^{\prime}$.
A run of $\pi$ on an input word $w=a_{1} a_{2} \ldots a_{n}$ is any finite sequence of transitions $q \xrightarrow{a_{1} / u_{1}} q_{1} \xrightarrow{a_{2} / u_{2}} \ldots \xrightarrow{a_{n-1} / u_{n-1}} q_{n-1} \xrightarrow{a_{n} / u_{n}} q^{\prime}$. The pair $(w, u)$, where $u=u_{1} u_{2} \ldots u_{n}$, is a label of this run. We write $q \xrightarrow{w / u} q^{\prime}$ when a transducer $\pi$ has a run labeled with $(w, u)$ from a state $q$ to a state $q^{\prime}$. If $q^{\prime} \in F$ then a run is final. A transduction relation realized by a transducer $\pi$ at its state $q$ is the set of pairs $\operatorname{TR}(\pi, q)=\left\{(w, u): q \xrightarrow{w / u} q_{0}^{\prime}, q^{\prime} \in F\right\}_{\exists}$


## Real Time Finite Transducers

Transducers $\pi_{1}\left(q_{1}\right)$ and $\pi_{2}\left(q_{2}\right)$ are called equivalent $\left(\pi_{1}\left(q_{1}\right) \sim \pi_{2}\left(q_{2}\right)\right.$ in symbols) iff $\operatorname{TR}\left(\pi_{1}, q_{1}\right)=\operatorname{TR}\left(\pi_{2}, q_{2}\right)$.
Equivalence checking problem for transducers is that of checking, given a pair of transducers $\pi_{1}$ and $\pi_{2}$, whether $\pi_{1} \sim \pi_{2}$ holds.

A transducer $\pi$ is called

- deterministic if for every letter $a$ and a state $q$ it has at most one transition of the form $q \xrightarrow{a / 山} q^{\prime}$,
- $k$-ambiguous if for every input word $w$ there is at most $k$ final runs of $\pi$ on $w$ from the initial state $q_{0}$,
- $k$-valued if for every input word $w$ the transduction relation $\operatorname{TR}\left(\pi, q_{0}\right)$ contains at most $k$ images of $w$,
- of length-degree $k$ if for every input word $w$, the number of distinct lengths of the images $u$ of $w$ in $\operatorname{Tr}\left(\pi, q_{0}\right)$ is at most $k$


## Real Time Finite Transducers

Equivalence checking problem is undecidable for

- transducers with $\varepsilon$-transitions
(Fisher P.S., Rozenberg A.L., 1966)
- real time transducers (Griffiths T., 1968)
- transducers over one-letter alphabet (Ibarra O., 1972).

Equivalence checking problem is decidable for

- deterministic transducers
(Blattner M, Head T., 1979): PTime
- single-valued transducers
(Schutzenberger M. P., 1977): PSpace
- unambiguous transducers (Gurari E., Ibarra O., 1983): PTime
- k-ambiguous transducers (Gurari E., Ibarra O., 1983)
- $k$-valued transducers
(Culik K., Karhumaki J., 1986): Time $2^{O\left(n^{2}\right)}$
- transducers of length-degree $k$ (Weber A., 1992): Time $2^{2^{2^{n}}}$


## Two-tape finite automata

A Two-tape Finite State Automaton (2-FSA) over disjoint alphabets $\Sigma$ and $\Delta$ is a 5 -tuple $M=\left\langle S_{1}, S_{2}, s_{0}, F, \rightarrow\right\rangle$ such that

- $S_{1}, S_{2}$ is a partitioning of a finite set $S$ of states,
- $s_{0} \in S_{1}$ is an initial state,
- $F \subseteq S$ is a subset of final states, and
- $\rightarrow$ is a transition relation of the type $\left(S_{1} \times \Sigma \times S\right) \cup\left(S_{2} \times \Delta \times S\right)$.
A run of 2-FSA $M$ is any sequence of transitions

$$
s \xrightarrow{z_{1}} s_{1} \xrightarrow{z_{2}} \cdots \xrightarrow{z_{n-1}} s_{n-1} \xrightarrow{z_{n}} s^{\prime}
$$

A run is complete if $s=s_{0}$ and $s^{\prime} \in F$.
A 2-FSA $M$ accepts a pair of words $(w, u) \in \Sigma^{*} \times \Delta^{*}$ if there is a complete run of $M$ such that $w$ is the projection of the word $z_{1} z_{2} \ldots z_{n-1} z_{n}$ on the alphabet $\Sigma$ and $u$ is the projection of the same word $z_{1} z_{2} \ldots z_{n-1} z_{n}$ on the alphabet $\Delta_{4}$

## Two-tape finite automata

A transduction relation recognized by a 2-FSA $M$ is the set $T R(M)$ of all pairs of words accepted by $M$.

2-FSAs $M^{\prime}$ and $M^{\prime \prime}$ are equivalent if $T R\left(M^{\prime}\right)=T R\left(M^{\prime \prime}\right)$.
A 2-FSA $M$ is called deterministic (2-DFSA) if for every letter a and a state $s$ it has at most one transition of the form $s \xrightarrow{a} s^{\prime}$.

Equivalence checking problem is undecidable for 2-FSAs (Fisher P.S., Rozenberg A.L., 1966)

Equivalence checking problem is decidable for

- 2-DFSA (Bird M., 1973; Valiant L.G., 1974)
- 2-DFSA in polynomial time
(Friedman E.P., Greibach S.A., 1982)
- deterministic multi-tape automata (Harju T., Karhumaki J., 1991)


## Prefix-free transducers: preliminaries

A word $u$ is a prefix of a word $w$ if $w=u v$ holds for some word $v$. In this case $w$ is called an extension of $u$ and $v=u \backslash w$ a left quotient of $u$ with $w$.
Two words $u_{1}$ and $u_{2}$ are compatible if one of them is a prefix of the other.
A language $L$ is called prefix-free if all its words are pairwise incompatible.
Two languages $L^{\prime}$ and $L^{\prime \prime}$ are compatible if every word in any of these languages is compatible with some word in the other.

Given a word $u$ and a language $L$, we denote by
$\operatorname{Pref}(L)$ the set of all prefixes of the words in $L$,
$u \backslash L$ a left quotient $\{v: u v \in L\}$ of $u$ with $L$.
Notice, that if $u \notin \operatorname{Pref}(L)$ then $u \backslash L=\emptyset$.

## Prefix-free transducers: preliminaries

## Proposition 1.

Let $L^{\prime}$ and $L^{\prime \prime}$ be finite prefix-free compatible languages.
Then there exists the unique partitions $L^{\prime}=\bigcup_{i=1}^{n} L_{i}^{\prime}$ and $L^{\prime \prime}=\bigcup_{i=1}^{n} L_{i}^{\prime \prime}$
such that for every $i, 1 \leq i \leq n$, one of the subsets $L_{i}^{\prime}$ or $L_{i}^{\prime \prime}$ is a singleton $\{u\}$ and all words from the other are extensions of $u$.

Such partitioning of a compatible pair of prefix-free languages $L^{\prime}$ and $L^{\prime \prime}$ will be called its splitting. The pairs of corresponding subsets $L_{i}^{\prime}$ and $L_{i}^{\prime \prime}, 1 \leq i \leq n$, will be called its fractions.

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## Example.

$$
L^{\prime}=\{a a a b b, b c c, a a a b a b, b c a c a\}, \quad L^{\prime \prime}=\{b c a, b c c a a, a a a b, b c c c\}
$$

## Prefix-free transducers: preliminaries

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## Example.

$$
\begin{array}{ll}
L^{\prime}=\{a a a b b, b c c, a a a b a b, b c a c a\}, & L^{\prime \prime}=\{b c a, b c c a a, a a a b, b c c c\} \\
L_{1}^{\prime}=\{\text { aaabb }, a a a b a b\}, & L_{1}^{\prime \prime}=\{a a a b\} ; \\
L_{2}^{\prime}=\{b c c\}, & L_{2}^{\prime \prime}=\{b c c a a, b c c c\} ; \\
L_{3}^{\prime}=\{b c a c a\}, & L_{3}^{\prime \prime}=\{b c a\} .
\end{array}
$$

## Prefix-free transducers: preliminaries

Given a transducer $\pi=\langle Q, q, F, \longrightarrow\rangle$ over languages $\Sigma$ and $\Delta$, a state $q \in Q$ and a letter $x \in \Sigma$, we denote by

$$
\operatorname{Out}_{\pi}(q, x)=\left\{\left(u, q^{\prime}\right): q \xrightarrow{x / u} q^{\prime}\right\}
$$

A transducer $\pi$ is called prefix-free if for every $q \in Q$ and $x \in \Sigma$ the language

$$
L_{\pi}(q, x)=\left\{u: \exists p(u, p) \in \operatorname{Out}_{\pi}(q, x)\right\}
$$

is prefix-free.
Prefix-free transducers have certain "deterministic" property: for every state $q$ of a prefix-free transducer $\pi$ and for every pair $(w, u) \in \operatorname{Tr}(\pi, q)$ there is the only run of $\pi$ from the state $q$ labeled with $(u, w)$.

## Prefix-free transducers: equivalence checking



## Prefix-free transducers: equivalence checking

## Idea

The equivalence checking technique for prefix-free transducers is based on manipulations with regular expressions.

1. We introduce for every state $q$ of a transducer $\pi$ a variable $X_{q}$.
2. We associate with a transducer $\pi$ a system of linear regular expression equations $\mathcal{E}(\pi)$ over variables $X_{q}, q \in Q$, which specifies the behaviour of $\pi$.
3. To check the equivalence $\pi\left(q^{\prime}\right) \sim \pi\left(q^{\prime \prime}\right)$ we add to the set of equations $\mathcal{E}(\pi)$ the equivalence requirement which is an equation of the form $X_{q^{\prime}}=X_{q^{\prime \prime}}$
4. Then we verify whether the resulting system of equations has a solution.

## Equivalence checking: assumptions

For the sake of clarity we will assume that:

- the input alphabet $\Sigma=\left\{a_{1}, \ldots, a_{k}\right\}$ and $\Gamma \cap \Delta=\emptyset$; symbols $x, y, z$ will denote arbitrary letters from $\Sigma$, and symbols $u, v, w$ will denote words from $\Delta^{*}$.
- $\pi^{\prime}=\pi\left(q^{\prime}\right)$ and $\pi^{\prime \prime}=\pi\left(q^{\prime \prime}\right)$,
- the transducer $\pi$ is trim, i.e. a final state is reachable from each state of $\pi$.


## Equivalence checking: regexes

Regular expressions (regexes ) are built of variables $X_{1}, X_{2}, \ldots$,
constants 0,1 ,
and letters from $\Sigma$ and $\Delta$
by means of concatenation and alternation + .
Regexes are interpreted on the semiring of transductions over $\Sigma$ and $\Delta$.

0 is interpreted as the transduction $\emptyset$
1 as $\{(\varepsilon, \varepsilon)\}$,
every letter $x$ as $\{(x, \varepsilon)\}$, every word $u$ as $\{(\varepsilon, u)\}$.

Concatenation of transductions $T_{1}$ and $T_{2}$ is defined as:

$$
T_{1} T_{2}=\left\{\left(h_{1} h_{2}, u_{1} u_{2}\right):\left(h_{1}, u_{1}\right) \in T_{1},\left(h_{2}, u_{2}\right) \in T_{2}\right\} .
$$

## Equivalence checking: linear regexes

We will focus on linear regexes of two types.
A $\Delta$-regex is any expression of the form

$$
E=u_{1} \cdot X_{1}+u_{2} \cdot X_{2}+\cdots+u_{n} \cdot X_{n}
$$

When a set of words $\left\{u_{1}, u_{2}, \ldots, u_{n}\right\}$ is prefix-free then such a $\Delta$ -regex will be also called prefix-free.

A $\Sigma$-regex is any expression of the form

$$
G=a_{1} \cdot E_{1}+a_{2} \cdot E_{2}+\cdots+a_{k} \cdot E_{k},
$$

where $E_{i}, 1 \leq i \leq k$, are $\Delta$-regexes.

## Equivalence checking: systems of equations

With each state $q$ of a transducer $\pi$ we associate a variable $X_{q}$, and for every pair $q \in Q$ and $x \in \Sigma$ we build a $\Delta$-regex

$$
E_{q, x}=\sum_{(u, p) \in \operatorname{Out}_{\pi}(q, x)} u \cdot X_{p} .
$$

Then the transducer $\pi$ is specified by the system of equations $\mathcal{E}_{\pi}$ :

$$
\left\{X_{q}=\sum_{x \in \Sigma} x \cdot E_{q, x}+c_{q}: q \in Q\right\}
$$

where $c_{q}=1$ if $q \in F$, or $c_{q}=0$ otherwise.

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$$
E_{q, x}=\sum_{(u, p) \in O u t_{\pi}(q, x)} u \cdot X_{p} .
$$

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## Proposition 2.

For every finite transducer $\pi$ the system of equation $\mathcal{E}_{\pi}$ has the unique solution $\left\{X_{q}=\operatorname{Tr}(\pi, q): q \in Q\right\}$.

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## Corollary.

$\pi(p) \sim \pi(q) \quad \Longleftrightarrow \quad \mathcal{E}_{\pi} \cup\left\{X_{p}=X_{q}\right\}$ has a solution.

## Equivalence checking: systems of equations



## Equivalence checking: systems of equations



The system of equations $\mathcal{E}_{\pi}$ :

$$
\begin{aligned}
X_{1}= & \mathbf{a} \cdot\left(g g h \cdot X_{2}+g h g \cdot X_{3}+g h h \cdot X_{3}\right)+ \\
& \mathbf{b} \cdot\left(h g \cdot X_{2}+h h g \cdot X_{4}\right)+1 \\
X_{2}= & \mathbf{a} \cdot h g h \cdot X_{4} \\
X_{3}= & \mathbf{a} \cdot X_{2} \\
X_{4}= & \mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{1}+1
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## Equivalence checking: systems of equations



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\end{aligned}
$$

Equivalence checking problem $\pi\left(q_{1}\right) \sim \pi\left(q_{4}\right)$ :

$$
X_{1}=X_{4}
$$

## Equivalence checking: systems of equations

We say that a system of linear equations

$$
\mathcal{E}=\mathcal{E}_{\pi}\left(X_{1}, \ldots, X_{n}\right) \cup\left\{X_{j}^{\prime}=E_{j}\left(X_{1}, \ldots, X_{n}\right): 1 \leq j \leq m\right\}
$$

is reduced if $\left\{X_{1}, \ldots, X_{n}\right\}$ and $\left\{X_{1}^{\prime}, \ldots, X_{m}^{\prime}\right\}$ are disjoint sets of variables and all right-hand sides $E_{j}$ are $\Delta$-regexes.

## Equivalence checking: systems of equations

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## Proposition 3.

Every reduced system of equations $\mathcal{E}$ has the unique solution.

## Equivalence checking: systems of equations

Some other extensions of the systems $\mathcal{E}_{\pi}$ have no solutions.

## Proposition 4.

If languages $L_{1}=\left\{u_{1}, \ldots, u_{\ell}\right\}$ and $L_{2}=\left\{v_{1}, \ldots, v_{m}\right\}$ are incompatible then a system of equations

$$
\mathcal{E}_{\pi}\left(X_{1}, \ldots, X_{n}\right) \cup\left\{\sum_{i=1}^{\ell} u_{i} \cdot X_{i}=\sum_{j=1}^{m} v_{j} \cdot X_{j}\right\}
$$

has no solutions.

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\mathcal{E}_{\pi}\left(X_{1}, \ldots, X_{n}\right) \cup\left\{\sum_{i=1}^{\ell} u_{i} \cdot X_{i}=\sum_{j=1}^{m} v_{j} \cdot X_{j}\right\}
$$

has no solutions.

## Proposition 5.

If a set of words $\left\{u_{1}, \ldots, u_{\ell}\right\}$ is prefix-free and a system

$$
\mathcal{E}_{\pi}\left(X_{1}, \ldots, X_{n}\right) \cup\left\{X_{1}=\sum_{i=1}^{\ell} u_{i} \cdot X_{i}\right\}
$$

has a solution then $\ell=1$ and $u_{1}=\varepsilon$.

## Systems of equations: solution technique

An iterative procedure checks the solvability of the system of equations $\mathcal{E}_{1}=\mathcal{E}_{\pi} \cup\left\{X_{p}=X_{q}\right\}$ for prefix-free transducer $\pi$ by bringing this system to an equivalent reduced form.

At the beginning of each iteration $t$ the procedure gets at the input a system of equations of the form

$$
\mathcal{E}_{t}=\mathcal{E}_{\pi_{t}} \cup\left\{X_{i}=E_{i}: 1 \leq i \leq N_{t}\right\},
$$

where $\pi_{t}$ is some prefix-free transducer and all $\Delta$-regexes $E_{i}$ are prefix-free.

If a variable $X$ occurs more than once in $\mathcal{E}_{t}$ then we call it active.
At the $t$-th iteration equivalent transformations are applied to $\mathcal{E}_{t}$.

## Systems of equations: solution technique

$$
\mathcal{E}_{t}=\mathcal{E}_{\pi_{t}} \cup\left\{X_{i}=E_{i}: 1 \leq i \leq N_{t}\right\}
$$

1) Removing of identities.

Equations of the form $X=X$ are removed from $\mathcal{E}_{t}$.

## Systems of equations: solution technique

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\mathcal{E}_{t}=\mathcal{E}_{\pi_{t}} \cup\left\{X_{i}=E_{i}: 1 \leq i \leq N_{t}\right\}
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1) Removing of identities.

Equations of the form $X=X$ are removed from $\mathcal{E}_{t}$.
2) Checking if $\mathcal{E}_{t}$ is reduced system

If none of the variables $X_{1}, \ldots, X_{N_{t}}$ from left-hand sides of equations $X_{i}=E_{i}$ occurs elsewhere then terminate and announce the solvability.

## Systems of equations: solution technique

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If none of the variables $X_{1}, \ldots, X_{N_{t}}$ from left-hand sides of equations $X_{i}=E_{i}$ occurs elsewhere then terminate and announce the solvability.
3) Elimination of variables.

For every equation of the form $X_{i}=E_{i}$ in $\mathcal{E}_{t}$

- if $X_{i}$ is in $\Delta$-regex $E_{i}$ then terminate and announce the unsolvability;
- otherwise in all other equations of $\mathcal{E}$ replace all the occurrences of $X_{i}$ with $E_{i}$.


## Systems of equations: solution technique

## The system of equations:

$$
\begin{aligned}
X_{1}= & \mathbf{a} \cdot\left(g g h \cdot X_{2}+g h g \cdot X_{3}+g h h \cdot X_{3}\right)+ \\
& \mathbf{b} \cdot\left(h g \cdot X_{2}+h h g \cdot X_{4}\right)+1 \\
X_{2}= & \mathbf{a} \cdot h g h \cdot X_{4} \\
X_{3}= & \mathbf{a} \cdot X_{2} \\
X_{4}= & \mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{1}+1 \\
X_{1}= & X_{4}
\end{aligned}
$$

## Systems of equations: solution technique

## The system of equations:

$$
\begin{aligned}
X_{4}= & \mathbf{a} \cdot\left(g g h \cdot X_{2}+g h g \cdot X_{3}+g h h \cdot X_{3}\right)+ \\
& \mathbf{b} \cdot\left(h g \cdot X_{2}+h h g \cdot X_{4}\right)+1 \\
X_{2}= & \mathbf{a} \cdot h g h \cdot X_{4} \\
X_{3}= & \mathbf{a} \cdot X_{2} \\
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\end{aligned}
$$

## Systems of equations: solution technique

The system of equations:

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X_{2} & =\mathbf{a} \cdot h g h \cdot X_{4} \\
X_{3} & =\mathbf{a} \cdot X_{2} \\
X_{4} & =\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4}+1 \\
X_{1} & =X_{4}
\end{aligned}
$$

## Systems of equations: solution technique

The number of active variables in $\mathcal{E}_{t}$ decreases. But this substitution has a side effect: non-standard equations of the form
A) $E^{\prime}=E^{\prime \prime}$, where $E^{\prime}, E^{\prime \prime}$ are non-variable $\Delta$-regexes, and B) $E=G$, where $E$ is a $\Delta$-regex and $G$ is a $\Sigma$-regex, may appear in $\mathcal{E}_{t}$. It may also happen that
C) several equations of the form $X=G$ with the same variable $X$ appear in $\mathcal{E}_{t}$.

## Systems of equations: solution technique

4) Elimination of non-standard equations $E=G$.

Equations which spoil the system are removed from $\mathcal{E}_{t}$.

- for every equation of the form $E\left(X_{1}, \ldots, X_{\ell}\right)=G$ replace all the occurrences of variables $X_{i}, 1 \leq i \leq \ell$, in $\Delta$-regex $E$ with $\Sigma$-regexes $G_{i}$ that correspond to these variables in the equations $X_{i}=G_{i}$ from the subsystem $\mathcal{E}_{\pi_{t}}$ : as the result we obtain an equation of the form

$$
E\left(G_{1}, \ldots, G_{\ell}\right)=G ;
$$

- for every pair of equations $X=G^{\prime}$ and $X=G^{\prime \prime}$ with the same left-hand side but different $\Sigma$-regexes $G^{\prime}$ and $G^{\prime \prime}$ replace one of these equations with the equation $G^{\prime}=G^{\prime \prime}$.
All equations of the form $E=G$ disappear and all equations of the form $X=G$ will have pairwise different left-hand side variables.
But this is achieved by inserting to the system non-standard equations of the form $G^{\prime}=G^{\prime \prime}$ where $G^{\prime}, G^{\prime \prime}$ are $\Sigma$-regexes.


## Systems of equations: solution technique

## The system of equations:

$$
\begin{aligned}
X_{4}= & \mathbf{a} \cdot\left(g g h \cdot X_{2}+g h g \cdot X_{3}+g h h \cdot X_{3}\right)+ \\
& \mathbf{b} \cdot\left(h g \cdot X_{2}+h h g \cdot X_{4}\right)+1 \\
X_{2}= & \mathbf{a} \cdot h g h \cdot X_{4} \\
X_{3}= & \mathbf{a} \cdot X_{2} \\
X_{4}= & \mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4}+1 \\
X_{1}= & X_{4}
\end{aligned}
$$

## Systems of equations: solution technique

## The system of equations:

$$
\begin{aligned}
& \begin{array}{l}
\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4}+1 \\
\mathbf{b} \cdot\left(h g \cdot X_{2}+h h g \cdot X_{4}\right)+1 \\
X_{2}=\mathbf{a} \cdot h g h \cdot X_{4} \\
X_{3}=\mathbf{a} \cdot X_{2} \\
X_{4}=\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4} \\
X_{1}=X_{4}
\end{array}
\end{aligned}
$$

## Systems of equations: solution technique

5) Elimination of nonstandard equations $G^{\prime}=G^{\prime \prime}$.

Remove from the system every equation of the form

$$
\sum_{i=1}^{k} \mathbf{a}_{\mathbf{i}} \cdot E_{i}^{\prime}=\sum_{i=1}^{k} \mathbf{a}_{\mathbf{i}} \cdot E_{i}^{\prime \prime}
$$

and inserts instead of it $k$ equations $E_{i}^{\prime}=E_{i}^{\prime \prime}, 1 \leq i \leq k$.
Thus, all equations of the form $G^{\prime}=G^{\prime \prime}$ disappear from the system due to the introduction of new equations of the form $E^{\prime}=E^{\prime \prime}$.

After this step equations of this form are the only non-standard equations that remain in the system.

## Systems of equations: solution technique

## The system of equations:

$$
\begin{gathered}
\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4}+1=\mathbf{a} \cdot\left(g g h \cdot X_{2}+g h g \cdot X_{3}+g h h \cdot X_{3}\right)+ \\
\mathbf{b} \cdot\left(h g \cdot X_{2}+h h g \cdot X_{4}\right)+1
\end{gathered}
$$

$X_{2}=\mathbf{a} \cdot h g h \cdot X_{4}$

$$
X_{3}=\mathbf{a} \cdot X_{2}
$$

$$
X_{4}=\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4}
$$

$$
X_{1}=X_{4}
$$

## Systems of equations: solution technique

## The system of equations:

$$
\begin{aligned}
& g \cdot X_{3}=g g h \cdot X_{2}+g h g \cdot X_{3}+g h h \cdot X_{3} \\
& h \cdot X_{4}=h g \cdot X_{2}+h h g \cdot X_{4} \\
& X_{2}=\mathbf{a} \cdot h g h \cdot X_{4} \\
& X_{3}=\mathbf{a} \cdot X_{2} \\
& X_{4}=\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4} \\
& X_{1}=X_{4}
\end{aligned}
$$

## Systems of equations: solution technique

6) Elimination of nonstandard equations $E^{\prime}=E^{\prime \prime}$.

For every equation $\sum_{i=1}^{\ell} u_{i} \cdot X_{i}^{\prime}=\sum_{j=1}^{m} v_{j} \cdot X_{j}^{\prime \prime}$
check the compatiblity of $L^{\prime}=\left\{u_{1}, \ldots, u_{\ell}\right\}$ and $L^{\prime \prime}=\left\{v_{1}, \ldots, v_{m}\right\}$

- if $L^{\prime}$ and $L^{\prime \prime}$ are incompatible then terminate and announce the unsolvability of the system;
- otherwise make a splitting $L^{\prime}=\bigcup_{i=1}^{n} L_{i}^{\prime}$ and $L^{\prime \prime}=\bigcup_{i=1}^{n} L_{i}^{\prime \prime}$, remove the equation from $\mathcal{E}_{t}$, and insert for every fraction $L_{i}^{\prime}=\left\{u_{i_{0}}\right\}$ and $L_{i}^{\prime \prime}=\left\{v_{i_{1}}, \ldots, v_{i_{r}}\right\}$ an equation

$$
X_{i_{0}}^{\prime}=\left(u_{i_{0}} \backslash v_{i_{1}}\right) \cdot X_{i_{1}}^{\prime \prime}+\cdots+\left(u_{i_{0}} \backslash v_{i_{r}}\right) \cdot X_{i_{r}}^{\prime \prime} .
$$

We obtain the system of equations $\mathcal{E}_{t+1}$ which is equivalent to $\mathcal{E}_{t}$ but has a smaller number of active variables than $\mathcal{E}_{5}$.

## Systems of equations: solution technique

## The system of equations:

$$
\begin{aligned}
& g \cdot X_{3}=g g h \cdot X_{2}+g h g \cdot X_{3}+g h h \cdot X_{3} \\
& h \cdot X_{4}=h g \cdot X_{2}+h h g \cdot X_{4} \\
& X_{2}=\mathbf{a} \cdot h g h \cdot X_{4} \\
& X_{3}=\mathbf{a} \cdot X_{2} \\
& X_{4}=\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4} \\
& X_{1}=X_{4}
\end{aligned}
$$

## Systems of equations: solution technique

The system of equations:

$$
\begin{aligned}
& X_{3}=g h \cdot X_{2}+h g \cdot X_{3}+h h \cdot X_{3} \\
& X_{4}=g \cdot X_{2}+h g \cdot X_{4} \\
& X_{2}=\mathbf{a} \cdot h g h \cdot X_{4} \\
& X_{3}=\mathbf{a} \cdot X_{2} \\
& X_{4}=\mathbf{a} \cdot g \cdot X_{3}+\mathbf{b} \cdot h \cdot X_{4} \\
& X_{1}=X_{4}
\end{aligned}
$$

## Prefix-free transducers: equivalence checking

## Proposition 6.

For every prefix-free transducer $\pi$ and a pair of its states $p, q$ the procedure above when being applied to the system of equations $\mathcal{E}_{1}=\mathcal{E}_{\pi} \cup\left\{X_{p}=X_{q}\right\}$ terminates and correctly detects the solvability of $\mathcal{E}_{1}$.

## Theorem 1.

Equivalence problem for finite prefix-free transducers is decidable in time $O\left(n^{2}\right)$.

## 2-tape automata and generalized transducers

Let $M=\left\langle S_{1}, S_{2}, s_{0}, F, \rightarrow\right\rangle$ be a 2-DFSA over alphabets $\Sigma$ and $\Delta$.
Without loss of generality we will assume that $F \subseteq S_{1}$.
For every $\widehat{s} \in S_{1}$ and $x \in \Sigma$ define a set $\operatorname{Out}_{M}(\widehat{s}, x) \subseteq \Delta^{*} \times S_{1}$.
Consider the transition $\widehat{s} \xrightarrow{x} s$ of $M$.

1) If $s \in S_{1}$ then $\operatorname{Out}_{M}(\widehat{s}, x)=\{(\varepsilon, s)\}$.
2) If $s \in S_{2}$ then $\operatorname{Out}_{M}(\widehat{s}, x)$ is a set of all pairs $\left(z_{1} z_{2} \ldots z_{n-1} z_{n}, s^{\prime}\right)$ such that there exists a run of $M$

$$
s \xrightarrow{z_{1}} s_{1} \xrightarrow{z_{2}} \cdots \xrightarrow{z_{n-1}} s_{n-1} \xrightarrow{z_{n}} s^{\prime} .
$$

which passes only via states $s_{i}$ of the set $S_{2}$ and ends at $s^{\prime} \in S_{1}$.

## 2-tape automata and generalized transducers



## 2-tape automata and generalized transducers



$$
\begin{aligned}
\operatorname{Out}_{M}\left(p_{1}, \mathbf{a}\right)= & \left\{\left(g, p_{2}\right),\left(h h g, p_{2}\right), \ldots,\left(h^{2 k} g, p_{2}\right), \ldots\right. \\
& \left.\left(h g, p_{3}\right),\left(h h h g, p_{3}\right), \ldots,\left(h^{2 k+1} g, p_{3}\right), \ldots\right\}
\end{aligned}
$$

## 2-tape automata and generalized transducers



## 2-tape automata and generalized transducers

## Proposition 7.

For every 2-DFSA $M=\left\langle S_{1}, S_{2}, s_{0}, F, \rightarrow\right\rangle$ over $\Sigma$ and $\Delta$, a pair of states $\widehat{s}, s \in S_{1}$, and a letter $x \in \Sigma$ the set of words

$$
L_{M}(\widehat{s}, s, x)=\left\{w:(w, s) \in \operatorname{Out}_{M}(\widehat{s}, x)\right\}
$$

is a regular prefix-free language. Moreover, the union

$$
L_{M}(\widehat{s}, x)=\bigcup_{s \in S_{1}} L_{M}(\widehat{s}, s, x)
$$

is also a regular prefix-free language.
We associate with every 2-DFSA $M$ a transducer $\pi_{M}=\left\langle S_{1}, s_{0}, F, \longrightarrow\right\rangle$ over $\Sigma$ and $\Delta$ such that the transition relation $\longrightarrow$ meets the requirement $s \xrightarrow{x / w} s^{\prime} \Leftrightarrow\left(w, s^{\prime}\right) \in \operatorname{Out}_{M}(\widehat{s}, x)$ for every quadruple $\left(s, x, w, s^{\prime}\right) \in S_{1} \times \Sigma \times \Delta^{*} \times S_{1}$.

## Proposition 8.

The equality $\operatorname{TR}(M)=\operatorname{TR}\left(\pi_{M}, s_{0}\right)$ holds for every 2-DFSA $M$.

## 2-tape automata and generalized transducers

A generalized prefix-free finite transducer over languages $\Sigma$ and $\Delta$ is a quadruple $\Pi=\left\langle Q, q_{0}, F, \longrightarrow\right\rangle$, where
$Q$ is a finite set of states,
$q_{0}$ is an initial state,
$F$ is a subset of final states, and
$\psi: Q \times \Sigma \times Q \rightarrow \operatorname{PFReg}(\Delta)$ is a transition function such that for every $q$ and $x$ the language $\bigcup_{q^{\prime} \in Q} \psi\left(q, x, q^{\prime}\right)$ is prefix-free.

As usual, we write $q \xrightarrow{x / L} q^{\prime}$ whenever $\psi\left(q, x, q^{\prime}\right)=L$. A run of $\Pi$ is any finite sequence of transitions

$$
q \xrightarrow{a_{1} / L_{1}} q_{1} \xrightarrow{a_{2} / L_{2}} \cdots \xrightarrow{a_{n-1} / L_{n-1}} q_{n-1} \xrightarrow{a_{n} / L_{n}} q^{\prime} .
$$

When writing $q \xrightarrow{w / L} q^{\prime}$ we mean that $\Pi$ has a run such that $w=a_{1} a_{2} \ldots a_{n}$ and $L=L_{1} L_{2} \ldots L_{n}$.
A transduction relation realized by $\Pi$ in its state $q$ is the set of pairs $\operatorname{TR}(\Pi, q)=\left\{(w, u): q \xrightarrow{w / L} q^{\prime}, u \in L, q^{\prime} \in F\right\}$.

## Generalized prefix-free transducers: equivalence checking

For every 2-DFSA $M$ there exists a generalized prefix-free finite transducer $\Pi_{M}$ such that $\operatorname{TR}(M)=\operatorname{TR}\left(\Pi_{M}, s_{0}\right)$.

To check the equivalence of generalized prefix-free finite transducers we adapt the approach developed for the analysis of ordinary prefix-free finite transducers.

Regexes are built of variables $X_{1}, X_{2}, \ldots$, constants 0,1 , and letters from $\Sigma$, but instead of $\Delta$ we will use prefix-free regular languages from PFReg as constants.
Modified $\Delta$-regexes: $L_{1} \cdot X_{1}+L_{2} \cdot X_{2}+\cdots+L_{n} \cdot X_{n}$, where $L_{i} \in$ FPReg for every $i, 1 \leq i \leq n$.
The system of equations $\mathcal{E}_{1}=\mathcal{E}_{\Pi} \cup\left\{X_{q^{\prime}}=X_{q^{\prime \prime}}\right\}$ for $\Pi$ is constructed in the same way as for ordinary transducers.
Propositions $2-5$ hold for equations with modified $\Delta$-regexes. Rules 1)-5) of the solvability checking procedure remain the same.

## Generalized prefix-free transducers: equivalence checking

$6^{\prime}$ ) Elimination of nonstandard equations $E^{\prime}=E^{\prime \prime}$.
For every equation $\left(^{*}\right) \sum_{i=1}^{\ell} L_{i}^{\prime} \cdot X_{i}^{\prime}=\sum_{j=1}^{m} L_{j}^{\prime \prime} \cdot X_{j}^{\prime \prime}$ check the
compatiblity of $L^{\prime}=\bigcup_{i=1}^{\ell} L_{i}^{\prime}$ and $L^{\prime \prime}=\bigcup_{j=1}^{m} L_{j}^{\prime \prime}$.
If the languages are incompatible then terminate and announce the unsolvability of the system. Otherwise,
6.1 For every $i, 1 \leq i \leq \ell$, such that $L_{i}^{\prime} \cap \operatorname{Pref}\left(L^{\prime \prime}\right) \neq \emptyset$ find any word $w \in L_{i}^{\prime} \cap \operatorname{Pref}\left(L^{\prime \prime}\right)$, and add an equation $X_{i}^{\prime}=\sum_{j=1}^{m}\left(w \backslash L_{j}^{\prime \prime}\right) \cdot X_{j}^{\prime \prime}$ to the system, and replace all other occurrences of $X_{i}^{\prime}$ with the right-hand side of this equation.
6.2 Do the same for every $j, 1 \leq j \leq m$.
6.3 If the equation $\left(^{*}\right)$ does not become an identity, then terminate and announce the unsolvability of the system.

## Generalized prefix-free transducers: equivalence checking

## Proposition 9.

If an equation $L_{0} \cdot X_{0}=\sum_{i=1}^{n} L_{i} \cdot X_{i}$ with a prefix-free $\Delta$-regex at the right-hand side has a prefix-free solution, and $w \in L_{0} \cap \operatorname{Pref}\left(\bigcup_{i=1}^{n} L_{i}\right)$ , then the equation $X_{0}=\sum_{i=1}^{n}\left(w \backslash L_{i}\right) \cdot X_{i}$ has the same solution.

## Theorem 2.

The equivalence problem for generalized prefix-free finite transducers is decidable in time $O\left(n^{3}\right)$.

## Corollary

The equivalence problem for deterministic two-tape finite state automata is decidable in time $O\left(n^{3}\right)$.

## Conclusions

Topics for future research.

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4. Find solutions to equivalence and minimization problems for generalized (non prefix-free) transducers.

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5. How to modify the presented technique to cope with equivalence problem for compatibility-free finite transducers operating over $\Delta_{1} \times \Delta_{2}$ instead of $\Delta$ ?

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6. How to solve equivalence problem for compatibility-free generalized transducers operating over $\Delta_{1} \times \Delta_{2}$

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6. How to solve equivalence problem for compatibility-free generalized transducers operating over $\Delta_{1} \times \Delta_{2}$
i.e. equivalence problem for deterministic 3-tape automata ?
